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ANNEX II

Information regarding State aid exempt under the conditions of this Regulation

PART I

to be provided through the established EFTA Surveillance Authority IT application as laid down in Article 11

Aid reference	<i>(to be completed by the EFTA Surveillance Authority)</i>	
EFTA State	
EFTA State reference number	
Region	Name of the Region(s) (NUTS¹)	Regional aid status²
Granting authority	Name
	Postal address
	Web address
Title of the aid measure	
National legal basis (Reference to the relevant national official publication)	
Web link to the full text of the aid measure	
Type of measure	<input type="checkbox"/> Scheme	

¹ NUTS - Nomenclature of Territorial Units for Statistics. Typically, the region is specified at level 2.

² Article 61(3)(a) of the EEA Agreement (status 'A'), Article 61(3)(c) of the EEA Agreement (status 'C'), unassisted areas i.e. areas not eligible for regional aid (status 'N').

	<input type="checkbox"/> Ad hoc aid	Name of the beneficiary and the group³ it belongs to
Amendment of an existing aid scheme or ad hoc aid	<input type="checkbox"/> Prolongation	EFTA Surveillance Authority aid reference
	<input type="checkbox"/> Modification
Duration⁴	<input type="checkbox"/> Scheme	dd/mm/yyyy to dd/mm/yyyy
Date of granting⁵	<input type="checkbox"/> Ad hoc aid	dd/mm/yyyy
Economic sector(s) concerned	<input type="checkbox"/> All economic sectors eligible to receive aid	
	<input type="checkbox"/> Limited to certain sectors: Please specify at NACE group level⁶
Type of beneficiary	<input type="checkbox"/> SME	
	<input type="checkbox"/> Large undertakings	
Budget	Total annual amount of the budget planned under the scheme⁷	National currency... (full amounts)
	Overall amount of the ad hoc aid awarded to the undertaking⁸	National currency... (full amounts)
	<input type="checkbox"/> For guarantees⁹	National currency... (full amounts)
Aid instrument	<input type="checkbox"/> Grant/Interest rate subsidy	
	<input type="checkbox"/> Loan/Repayable advances	
	<input type="checkbox"/> Guarantee (where appropriate with a reference to the EFTA Surveillance Authority decision¹⁰)	
	<input type="checkbox"/> Tax advantage or tax exemption	
	<input type="checkbox"/> Provision of risk finance	

³ An undertaking for the purposes of rules on competition laid down in the Treaty and for the purposes of this Regulation is any entity engaged in an economic activity, regardless of its legal status and the way in which it is financed. The Court of Justice has ruled that entities which are controlled (on a legal or on a de facto basis) by the same entity should be considered as one undertaking.

⁴ Period during which the granting authority can commit itself to grant the aid.

⁵ Determined in line with Article 2, point 27 of the Regulation.

⁶ NACE Rev. 2 - Statistical classification of Economic Activities in the European Community. Typically, the sector shall be specified at group level.

⁷ In case of an aid scheme: Indicate the annual overall amount of the budget planned under the scheme or the estimated tax loss per year for all aid instruments contained in the scheme.

⁸ In case of an *ad hoc* aid award: Indicate the overall aid amount/tax loss.

⁹ For guarantees, indicate the (maximum) amount of loans guaranteed.

¹⁰ Where appropriate, reference to the EFTA Surveillance Authority decision approving the methodology to calculate the gross grant equivalent, in line with article 5(2)(c) of the Regulation.

	<input type="checkbox"/> Other (please specify) Indicate to which broad category below it would fit best in terms of its effect/function: <input type="checkbox"/> Grant <input type="checkbox"/> Loan <input type="checkbox"/> Guarantee <input type="checkbox"/> Tax advantage <input type="checkbox"/> Provision of risk finance		
<input type="checkbox"/> If co-financed by EU fund(s)	Name of EU fund(s):	Amount of funding (as per EU fund)	National currency... (full amounts)

PART II

to be provided through the established EFTA Surveillance Authority IT application as laid down in Article 11

Please indicate under which provision of the GBER the aid measure is implemented.

Primary objective - General Objectives (list)	Objectives (list)	Maximum aid intensity in % or Maximum annual aid amount in national currency (in full amounts)	SME - bonuses in %
Regional aid - investment aid ¹¹ (Art. 14)	<input type="checkbox"/> Scheme	...%	...%
	<input type="checkbox"/> Ad hoc aid	...%	...%
Regional aid - operating aid (Art. 15)	<input type="checkbox"/> Transport costs of goods in eligible areas (Art. 15(2)(a))	...%	...%
	<input type="checkbox"/> Additional costs in outermost regions (Art. 15(2)(b))	...%	...%
<input type="checkbox"/> Regional urban development aid (Art. 16)	 national currency	...%
<input type="checkbox"/> SME aid (Art. 17 - 18 - 19-20)		...%	...%
SME aid - SMEs' access to finance (Art. 21-22)	<input type="checkbox"/> Risk finance aid (Art. 21)	...national currency	...%
	<input type="checkbox"/> Aid for start-ups (Art. 22)	...national currency	...%
<input type="checkbox"/> SME aid - Aid to alternative trading platforms specialised in SMEs (Art. 23)		...%; in case the aid measure takes the form of start- up aid: ... national currency	...%
<input type="checkbox"/> SME aid - Aid for scouting costs (Art. 24)		...%	...%
Aid for research, development and	Aid for research	<input type="checkbox"/> Fundamental research (Art. 25(2)(a))	...% ...%

¹¹ In the case of *ad hoc* regional aid supplementing aid awarded under aid scheme(s), please indicate both the aid intensity granted under the scheme and the intensity of the *ad hoc* aid.

innovation (Arts. 25 - 30)	and develop- ment projects (Art. 25)	<input type="checkbox"/> Industrial research (Art. 25(2) b))	...%	...%
		<input type="checkbox"/> Experimental development (Art. 25(2)(c))	...%	...%
		<input type="checkbox"/> Feasibility studies (Art. 25(2)(d))	...%	...%
	<input type="checkbox"/> Investment aid for research infrastructures (Art. 26)	...%	...%	
	<input type="checkbox"/> Aid for innovation clusters (Art. 27)	...%	...%	
	<input type="checkbox"/> Innovation aid for SMEs (Art. 28)	...%	...%	
	<input type="checkbox"/> Aid for process and organisational innovation (Article 29)	...%	...%	
	<input type="checkbox"/> Aid for research and development in the fishery and aquaculture sector (Art. 30)	...%	...%	
<input type="checkbox"/> Training aid (Art. 31)			...%	...%
Aid for disadvantaged workers and workers with disabilities (Arts. 32-35)	<input type="checkbox"/> Aid for the recruitment of disadvantaged workers in the form of wage subsidies (Articles 32)	...%	...%	
	<input type="checkbox"/> Aid for the employment of workers with disabilities in the form of wage subsidies (Arts. 33)	...%	...%	
	<input type="checkbox"/> Aid for compensating the additional costs of employing workers with disabilities (Art.34)	...%	...%	
	<input type="checkbox"/> Aid for compensating the costs of assistance provided to disadvantaged workers (Art.35)	...%	...%	
Aid for Environmental protection (Arts.36- 49)	<input type="checkbox"/> Investment aid enabling undertakings to go beyond Union standards for environmental protection or increase the level of environmental protection in the absence of Union standards (Art.36)	...%	...%	
	<input type="checkbox"/> Investment aid for early adaptation to future Union standards (Art.37)	...%	...%	
	<input type="checkbox"/> Investment aid for energy efficiency measures (Art.38)	...%	...%	
	<input type="checkbox"/> Investment aid for energy efficiency projects in buildings (Art.39)	...national currency	...%	
	<input type="checkbox"/> Investment aid for high-efficiency cogeneration (Art. 40)	...%	...%	
	<input type="checkbox"/> Investment aid for the promotion of energy from renewable sources (Art. 41)	...%	...%	

	<input type="checkbox"/> Operating aid for the promotion of electricity from renewable sources (Art. 42)	...%	...%
	<input type="checkbox"/> Operating aid for the promotion of energy from renewable sources in small scale installation (Art. 43)	...%	...%
	<input type="checkbox"/> Aid in the form of reductions in environmental taxes under Directive 2003/96/EC (Art. 44)	...%	...%
	<input type="checkbox"/> Investment aid for remediation of contaminated sites (Art. 45)	...%	...%
	<input type="checkbox"/> Investment aid for energy efficient district heating and cooling (Art. 46)	...%	...%
	<input type="checkbox"/> Investment aid for waste recycling and re-utilisation (Art. 47)	...%	...%
	<input type="checkbox"/> Investment aid for energy infrastructure (Art. 48)	...%	...%
	<input type="checkbox"/> Aid for environmental studies (Art. 49)	...%	...%
<input type="checkbox"/> Aid schemes to make good the damage caused by certain natural disasters (Art. 50)	Maximum aid intensity	...%	...%
	Type of natural disaster	<input type="checkbox"/> earthquake <input type="checkbox"/> avalanche <input type="checkbox"/> landslide <input type="checkbox"/> flood <input type="checkbox"/> tornado <input type="checkbox"/> hurricane <input type="checkbox"/> volcanic eruption <input type="checkbox"/> wild fire	
	Date of occurrence of the natural disaster	dd/mm/yyyy to dd/mm/yyyy	
<input type="checkbox"/> Social aid for transport for residents of remote regions (Art. 51)		...%	...%
<input type="checkbox"/> Aid for broadband infrastructures (Art. 52)		...national currency	...%
<input type="checkbox"/> Aid for culture and heritage conservation (Art. 53)		...%	...%
<input type="checkbox"/> Aid schemes for audio-visual works (Art. 54)			
		...%	...%
<input type="checkbox"/> Aid for sport and multifunctional recreational infrastructures (Art. 55)		...%	...%
<input type="checkbox"/> Investment aid for local infrastructures (Art. 56)		...%	...%